



# Performance analysis of number theoretic transform-based convolution using field programmable gate array

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## Abstract

This paper presents the convolution operation based on the Number Theoretic Transform for two  $n=8$  input sequences. The convolution of two  $n$ -point sequences using Fast Fourier Transform exhibits design complexity leading to high power consumption. The Number Theoretic Transform utilizes the matrix of modulus values to evaluate the convolution. The Number Theoretic Transform is as an integer transform which makes the design comparatively simple. The convolution based Number Theoretic Transform is developed using the Very High Speed Integrated Circuit Hardware Description language. Also the real time implementation of the proposed method is validated by the Xilinx Spartan FPGA family devices. The performance analysis of power, speed and area are evaluated and compared with 3A DSP FPGA and Virtex 6 FPGA devices.

**Keywords:** Convolution, Number Theoretic Transform, VHDL, Xilinx Spartan FPGA

## 1. Introduction

The convolution is a mathematical operation between two functions to generate the third function. Depending on the type of signal, the convolution can be classified as discrete and analog. The discrete convolution is used more predominantly compared to the analog convolution due to high precision, high performance and easy debugging. The convolution is classified as linear and circular convolution depending on the periodicity of the signal. Gradually, the circular convolution got evolved for its faster operation. The circular convolution uses finite number of inputs in operations. The convolution could be performed by several methods like sectioned convolution, graphical convolution, fast fourier transform and vedic multiplication algorithm [1].

Modern day applications such as signal and image processing, acoustics, electrical engineering demand faster convolution algorithm. By making use of Fast Fourier Transform (FFT), the convolution is converted to ordinary multiplication of the input sequence. As the FFT includes complexity in the design and high power consumption, the Number Theoretic Transform (NTT) is utilized.

The Number Theoretic Transform (NTT) is a finite integer convolution algorithm. In NTT, the complex FFT algorithms with the twiddle factors are replaced with the modulus operation. The NTT can be effectively used in the lossless medical image transmission by water marking approach [2]. The NTT's effectiveness has been proven in the images lossless transmission and in convolution fast calculation [3] The NTT combined with lattice based cryptosystems performs cyclic, negacyclic and convolutions in encrypted domain [4]. NTT algorithm are improvised for the float value transforms for Fourier, Hartley, Sine and Cosine signals [5]

This paper proposes the design of convolution based on NTT algorithm using the VHDL coding. The VHDL coding of the FFT based convolution is time consuming in design and occupies more

number of multipliers and adders. By utilizing the NTT algorithm, the VHDL design is simple and thus easily downloadable in the FPGAs. The FPGA more advantages than other digital controllers in high speed operation, low power consumption, parallel processing and reconfigurable design. The convolution neural network based on FPGA is effectively used in image identification [6]. The FPGA accelerator for the 3D convolution design aides to avoid the loading repetition of the processing feature maps [7]. The performance of deep convolution neural network is 1.9 to 250 times faster by utilizing FPGA device [2][8]. Controllers analyses for non-linear systems has been reported [9-18]

The real time implementation of the convolution based on NTT algorithm is evaluated by using the FPGA devices namely Xilinx Spartan 3A DSP FPGA and Xilinx Virtex 6 FPGA. The next section discuss on the convolution based NTT algorithm preceded by the FPGA based design flow of the proposed method.

## 2. The Proposed Convolution Method

The convolution of two sequences of length  $N = 8$  is performed using the NTT algorithm. The NTT algorithm uses the modulus function for the evaluation of the FFT. The procedure for the NTT algorithm is as follows.

- i. The order of the sequence ( $n$ ) for the FFT is specifies as non-negative integer.
- ii. The modulus  $M$  is chosen such that every value of the input sequence is within range of 0 to  $M$ . (i.e.,)  $1 \leq n \leq M$ .
- iii. The formula for the working module in the NTT algorithm is given by
- iv.

$$N = kn + 1 \quad (1)$$

Where  $k$  is an integer  $> 1$ ,  
 $n$  is the order of the sequence.

Note: The N value generated using this formula should be a prime number using the Dirichlet's Theorem.

v. For the n-point DFT,  $\omega$  is the primitive  $n^{\text{th}}$  root of unity. This is compensated in the NTT algorithm by using Euler's Theorem defined as

vi.  
 $\omega = g^k \text{ mod } N,$  (2)

Where g is the generator

The value of the generator "g" is selected by using following conditions

- a) The value of g is assumed to be say a.
- b) The prime number N is considered as N-1 and factorise as two product values say x and y.
- c) Now the generator "a" is found by checking for the following

d)  
 $a^{N-1} \text{ mod } M = 1$  (3)

$a^x \text{ mod } M \neq 1$  and  $a^y \text{ mod } M \neq 1$  (4)

vii. After finalising with the values of N, M and a; the convolution based on NTT algorithm is evaluated

viii. The two n sequences are fed in through the 8X8 matrices. The 8X8 matrices of the NTT algorithm is given below

$$\begin{pmatrix} (W_8^0)^0 & \dots & (W_8^0)^7 \\ \vdots & \ddots & \vdots \\ (W_8^7)^0 & \dots & (W_8^7)^7 \end{pmatrix} \quad (5)$$

vii. The FFT manipulated values are multiplied for the evaluation of the 8 point values which is again fed the IFFT based NTT algorithm using the following 8X8 matrices as shown below

$$\begin{pmatrix} (W_8^0)^{-0} & \dots & (W_8^0)^{-7} \\ \vdots & \ddots & \vdots \\ (W_8^7)^{-0} & \dots & (W_8^7)^{-7} \end{pmatrix} \quad (6)$$

vii. The convoluted output of the 8 point input sequences are acquired.

viii. The block diagram for the proposed NTT method is shown in Fig.1

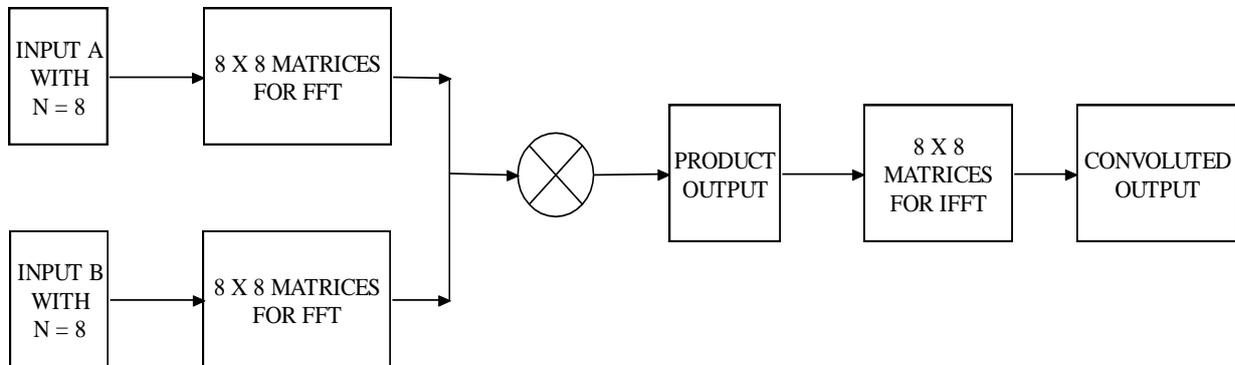


Fig. 1: Block diagram of the Number Theoretic Transform with sequence n=8

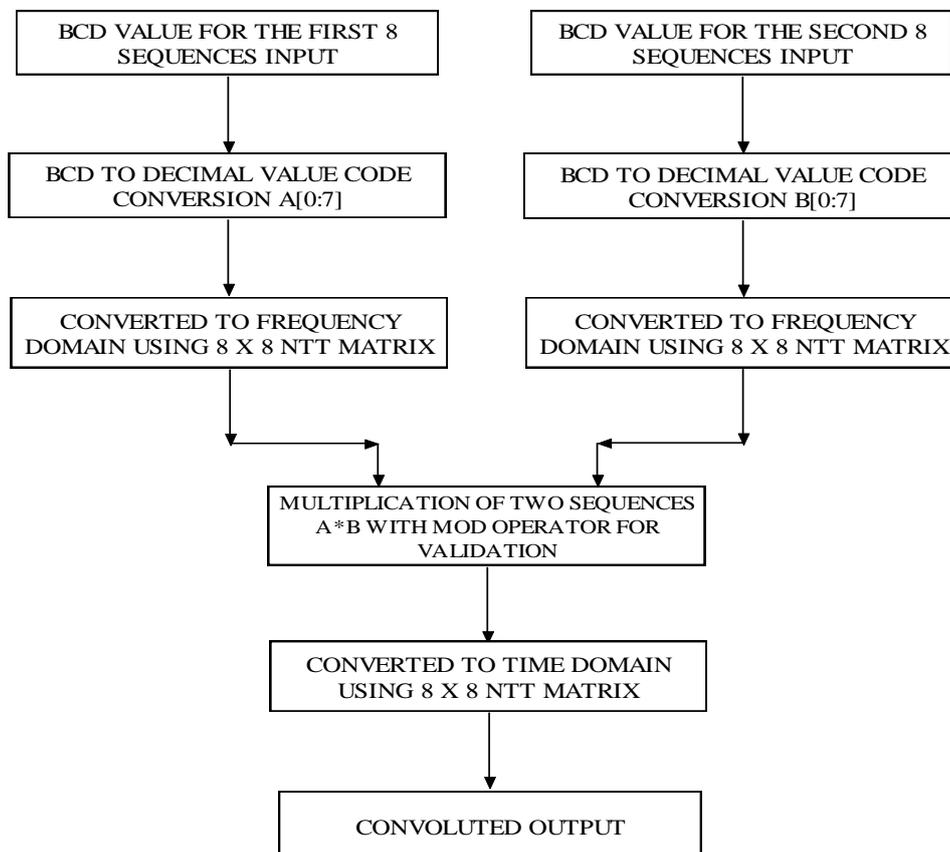


Fig. 2: FPGA Design flow of the propose convolution method

The FPGA design flow of the proposed method is depicted in Fig.2. The inputs are fed in the BCD format, as the inputs could be assigned to the IO pins in real time FPGA implementation. The BCD of the two 8 sequences are converted into the integer values using the BCD to decimal code converter. The two 8 integer values are converted to frequency domain equivalence by using the 8X8 NTT matrices. The NTT matrix is framed considering the modulus function as equivalent to the twiddle factors of the FFT algorithm. The two frequency converted 8 sequences are multiplied and operated with the mod operator to make sure that the value is not greater than the value of modulus value M. The product obtained has to be converted back to time domain using INTT matrix. The INTT is performed on the obtained 8 integer value using the 8X8 NNT inverse matrices multiplied with the  $n^{-1}$  mod M to yield the desired output. The VHDL code of the proposed convolution method involves the structure style of modelling.

### 3. Results and Discussion

In this work, the inputs values for the two input sequences are verified with three different combinations. The prime number 673

is fixed as the modulus value ‘M’. The generator value is assumed as  $W=326$ . The first set of two inputs say  $x=\{4,1,4,2,1,3,5,6\}$  and  $y=\{6,1,8,0,3,3,9,8\}$  assigned to the proposed convolution based NTT algorithm gives the result of convolution of  $(x*y)=\{123,120,106,92,139,144,140,124\}$ . The second set of two inputs are assigned as  $x=\{4,3,2,1,0,0,0,0\}$  and  $y=\{8,7,6,5,0,0,0,0\}$  to given the convoluted result as  $\{32,52,61,60,34,16,5,0\}$ . Similarly for the third set of two inputs  $x=\{1,1,2,2,3,3,4,4\}$  and  $y=\{5,5,6,6,7,7,8,8\}$ , the convoluted output is given as  $\{126,132,134,136,134,132,126,120\}$ . Fig.3 shows the simulated output for the three different input sets using the proposed convolution based on NTT algorithm using the ModelSim software. The real time implementation of the proposed method is performed using the Xilinx Spartan family devices like 3A DSP FPGA and Virtex 6 FPGA. The RTL view with the detailed schematic of the propose method in depicted in the Fig.4. The area analysis of the proposed method using Xilinx Spartan 3A DSP and Virtex 6 FPGA are presented in Table 1&2 respectively. The area utilization of the proposed method is low for the Virtex 6 FPGA. The performance of the power holds good for the Xilinx Spartan 3A DSP FPGA as proven by comparing the Tables 3 & 4. The timing analysis of the proposed method using the FPGA is presented in Table 5.

BCD INPUTS				
/ntt_fft_updated/nt11	0100	0100		0001
/ntt_fft_updated/nt12	0001	0001	0011	0001
/ntt_fft_updated/nt13	0100	0100	0010	
/ntt_fft_updated/nt14	0010	0010	0001	0010
/ntt_fft_updated/nt15	0001	0001	0000	0011
/ntt_fft_updated/nt16	0011	0011	0000	0011
/ntt_fft_updated/nt17	0101	0101	0000	0100
/ntt_fft_updated/nt18	0110	0110	0000	0100
/ntt_fft_updated/nt21	0110	0110	1000	0101
/ntt_fft_updated/nt22	0001	0001	0111	0101
/ntt_fft_updated/nt23	1000	1000	0110	
/ntt_fft_updated/nt24	0000	0000	0101	0110
/ntt_fft_updated/nt25	0011	0011	0000	0111
/ntt_fft_updated/nt26	0011	0011	0000	0111
/ntt_fft_updated/nt27	1001	1001	0000	1000
/ntt_fft_updated/nt28	1000	1000	0000	1000
OUTPUT				
/ntt_fft_updated/n1	123	123	32	126
/ntt_fft_updated/n2	120	120	52	132
/ntt_fft_updated/n3	106	106	61	134
/ntt_fft_updated/n4	92	92	60	136
/ntt_fft_updated/n5	139	139	34	134
/ntt_fft_updated/n6	144	144	16	132
/ntt_fft_updated/n7	140	140	5	126
/ntt_fft_updated/n8	124	124	0	120
INTEGER CONVERTED INPUTS				
/ntt_fft_updated/a1	4	4		1
/ntt_fft_updated/a2	1	1	3	1
/ntt_fft_updated/a3	4	4	2	
/ntt_fft_updated/a4	2	2	1	2
/ntt_fft_updated/a5	1	1	0	2

Fig. 3: Simulation output of the NTT algorithm using the MODELSIM software

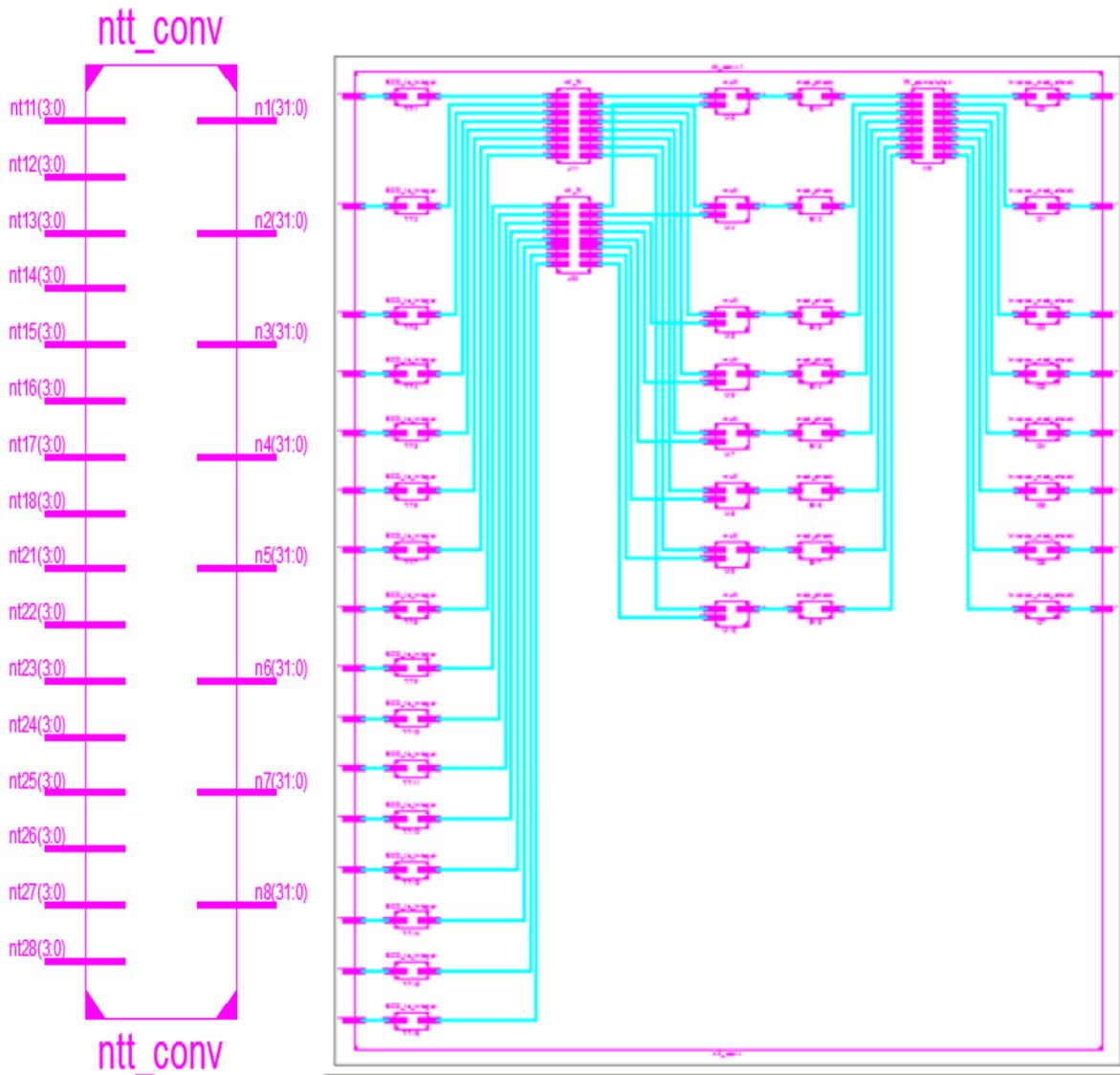


Fig. 4: RTL view with detailed schematic view of the proposed convolution based on NTT algorithm using the Xilinx Spartan FPGA device

Table 1: Device Utilization chart of the propose method using the Xilinx Spartan 3A DSP FPGA

Device Utilization Summary			
Logic Utilization	Used	Available	Utilization
Number of Slice Latches	64	33,280	1%
Number of 4 input LUTs	1,806	33,280	5%
Number of occupied Slices	1,080	16,640	6%
Number of Slices containing only related logic	1,080	1,080	100%
Total Number of 4 input LUTs	1,900	33,280	5%
Number used as logic	1,806		
Number used as a route-thru	94		
Number of bonded IOBs	320	519	61%
Number of DSP48As	40	84	47%
Average Fanout of Non-Clock Nets	1.86		



A	B	C	D	E	F	G	H	I	J	K	L	M	N
Device		On-Chip	Power (W)	Used	Available	Utilization (%)			Supply	Summary	Total	Dynamic	Quiescent
Family	Virtex6	Clocks	0.000	64	---	---			Source	Voltage	Current (A)	Current (A)	Current (A)
Part	xc6vcsx240t	Logic	0.000	1691	150720	1.1			Vccint	1.000	1.636	0.000	1.636
Package	ff1156	Signals	0.000	2501	---	---			Vccaux	2.500	0.121	0.000	0.121
Grade	Commercial	IOs	0.000	320	600	53.3			Vcco25	2.500	0.002	0.000	0.002
Process	Typical	DSPs	0.000	36	768	4.7			MGTAVcc	1.000	0.630	0.000	0.630
Speed Grade	-2	Leakage	1.943						MGTAVtt	1.200	0.000	0.000	0.000
		Total	1.943										
Environment											Total	Dynamic	Quiescent
Ambient Temp (C)	50.0			Effective TJA	Max Ambient	Junction Temp			Supply Power (W)		2.033	0.000	2.033
Use custom TJA?	No	Thermal Properties		(C/W)	(C)	(C)							
Custom TJA (C/W)	NA			1.5	82.0	53.0							
Airflow (LFM)	250												
Heat Sink	Medium Profile												
Custom TSA (C/W)	NA												
Board Selection	Medium (10"x10")												
# of Board Layers	12 to 15												
Custom TJB (C/W)	NA												
Board Temperature (C)	NA												

**Table 5:** Timing Analysis of the proposed method using the Xilinx FPGA devices

Methods	SPARTAN 3A DSP	VIRTEX 6
Max Delay	2.852ns	1.214ns
Number of paths	10	8
Number of destination ports	4	1
Memory Utilized	306696 KB	350460 KB
Total Real Time to MAP	6 sec	13sec
Total Real Time to PAR	1 min 10 sec	1 min 35 sec

### 4. Conclusions

The design of convolution based NTT is developed and executed using the VHDL coding. The real time validation using the FPGA for the proposed method seems to be satisfactory. The power and area hold good for the Xilinx Spartan 3A DSP FPGA. The Xilinx Virtex 6 FPGA proves to be fast in operation with the maximum delay of 1.214ns. The extension of this work could be performed with the floating point multiplication using NTT algorithm.

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